

TO: forward for official fax COMPANY:



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

## Facsimile Transmission

To:           Name:                   forward for official fax  
              Company:  
              Fax Number:       703-746-7239  
              Voice Phone:

From:       Name:  
              Voice Phone:

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

---

---

Date and time of transmission: Thursday, May 16, 2002 10:14:36 AM  
Number of pages including this cover sheet: 22

---

MAY-15-2002 14:47  
05/16/02 10:16:36

MARGER JOHNSON ET AL  
USPTO MARGER JOHNSON & McCOLLUM Right FAX  
Professional Corporation  
PATENTS • TRADEMARKS • COPYRIGHTS  
TECHNOLOGY LICENSING & LITIGATION

503 973 1459 P.01/21  
Page 002

1030 SW MORRISON STREET  
PORTLAND, OREGON 97205

(503) 222 3613  
FAX: (503) 274 4622

Internet: ariel@techlaw.com

TO: Examiner Kimberly Eaton / Group Art 2161  
U.S. Patent and Trademark Office

FROM: Ariel S. Rogson

DATE: Wednesday, May 15, 2002

Destination Number: (703) 746-7748  
Number of pages (including this one): 21  
In case of errors in transmission, call: Laura

Confirmation copy: ☐ will follow by U.S. mail  
☒ will not follow by U.S. mail.

Re: SYSTEM AND METHOD FOR PROCESSING TOKENLESS BIOMETRIC  
ELECTRONIC TRANSMISSIONS USING AN ELECTRONIC RULE MODULE  
CLEARINGHOUSE  
Serial No. 09/398,914 filed September 16, 1999  
Our Docket No. 8514-25

Dear Examiner Eaton:

Following up on our telephone conversation this morning, attached is a copy of our Preliminary Amendment on the above-referenced patent application. Please contact me if you have any questions.

Ariel Rogson

NOTICE

This facsimile transmission is attorney-client privileged and confidential information intended only for the recipient named above. If you are not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this communication is strictly prohibited. If you have received this transmission in error, contact us immediately at the number above.

PATENT APPLICATION  
Attorney's Do. No. 8514-25 (STA-21)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman and Philip D. Lapsley

Serial No.: 09/398,914

Group Art Unit: 2161

Filed: September 16, 1999

Examiner Cosimano

For: SYSTEM AND METHOD FOR PROCESSING  
TOKENLESS BIOMETRIC ELECTRONIC  
TRANSMISSIONS USING AN ELECTRONIC  
RULE MODULE CLEARINGHOUSE

Box Non Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed is a Preliminary Amendment in the above-identified application.

The fee has been calculated as shown below.

CLAIMS AS AMENDED					
For:	Number After Amendment	Previous Number	Extra	Rate	Additional Fee
Total Claims	25	-25*	0	x \$9 =	\$ 0
Independent Claims	3	-3*	0	x \$42 =	\$ 0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$ 0

\*greater of twenty (20) or number for which fee has been paid  
\*\*greater if three (3) or number for which fee has been paid

- ☒ No additional fee is due.  
☒ Any deficiency or overpayment should be charged or credited to deposit account  
number 13-1703.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & MCCOLLOM, P.C.

By

Alexander C. Johnson, Jr.  
Reg. No. 29,395

1030 S.W. Morrison Street  
Portland, Oregon 97205  
Telephone: (503) 222-3613

cc: Michael J. Biber  
Philip D. Lapsley

PATENT APPLICATION  
Attorney's Do. No. 8514-25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman and Philip D. Lapsley

Serial No.: 09/398,914

Group Art Unit: 2161

Filed: September 16, 1999

Examiner Cosimano

For: SYSTEM AND METHOD FOR PROCESSING  
TOKENLESS BIOMETRIC ELECTRONIC  
TRANSMISSIONS USING AN ELECTRONIC  
RULE MODULE CLEARINGHOUSE

I HEREBY CERTIFY THAT THIS COR-  
RESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN  
ENVELOPE ADDRESSED TO:

☐ COMMISSIONER OF PATENTS AND  
TRADEMARKS, WASHINGTON D.C.  
20231

☒ ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON D.C. 20231

☐ ASSISTANT COMMISSIONER FOR  
TRADEMARKS, 2900 CRYSTAL DRIVE,  
ARLINGTON VA 22202-4343

ON: 26 Oct 2001  
*[Signature]*

Box Non Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Preliminary to examination, please amend the application as follows:

In the specification:

Replace the indicated paragraphs as follows:

Page 17, paragraph starting at line 10, ending at line 18:

Tokenless biometric electronic transmissions are characterized by identifying the user with the user's bid biometric sample 62 submitted through a stand alone BIA 16 which is directly connected via dial-up, leased lines or wireless modem 56 to the Internet 18, or through a BIA 16 incorporated to any client terminal such as a desktop personal computer, a notebook computer, a thin-client, or other public terminal or kiosk 60 such as an Automated Teller Machine (ATM). In a preferred embodiment, the user is identified through biometrics while third-party databases 28 which are accessed to complete the electronic transmission are identified through the verification of a digital certificate issued by an authorized certifying authority.